

**UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA**

Case No: 3:18-cr-00577-CRB-1

Case Name: USA v. Michael Richard LynchUSA v. Stephen Keith Chamberlain

**TRIAL SHEET, EXHIBIT and WITNESS LIST**

<b>JUDGE:</b> Charles R. Breyer	<b>PLAINTIFF ATTORNEY:</b> Adam Reeves, Kristina Green, Robert Leach, Zacahry Abrahamson	<b>DEFENSE ATTORNEY:</b> Gary Lincenberg, Michael Landman, Ray Seilie (Chamberlain)  Christopher J. Morvillo Celeste Koeleveld Brian Heberlig Jonathan Baum Daniel Silver (Lynch)
<b>TRIAL DATE:</b> April 17, 2024	<b>REPORTER(S):</b> Rhonda Aquilina	<b>CLERK:</b> Lashanda Scott

PLF NO.	DEF NO.	DATE/TIME OFFERED	ID	REC	DESCRIPTION	BY
					Judge cried-in. All jurors present. All parties present. Government direct-examination of witness <b>Hafeez Bux Daud Khan</b>	
776			X		Exhibit 776 previously admitted	
2688			X		Exhibit previously admitted	
630			X		Exhibit 630 previously admitted	
11566			X	X	Exhibit 11566 marked and admitted 526) RNS Announcement: Autonomy announced that a major global bank based out of the U.S. has placed a multimillion dollar order for Autonomy compliance solutions. The agreement has an expected value of in excess of \$15 million over the next few years. Source: Capital IQ <a href="https://www.capitaliq.com/CIQDotNet/Filings/FilingsAnnualReports.aspx?CompanyId=123217">https://www.capitaliq.com/CIQDotNet/Filings/FilingsAnnualReports.aspx?CompanyId=123217</a> )	
2674			X	X	Exhibit 2674 marked and admitted Email from VarzallyM to CadelA, et al., Cc to BerkowitzJ re "FW: Autonomy : Q2 Results - ALERT"	
901			X	X	Exhibit 901 marked and admitted JP Mogan Cazenove Reoprt "Autonomy - Concerns remain over underlying growth"	
11631			X	X	Exhibit 11631 marked and admitted Q3 2009 Autonomy Earnings Powerpoint presentation	

2572			X	X	Exhibit 2572 marked and admitted Autonomy PowerPoint Presentation: Autonomy Q4 and FY'10 Results 1 February 2011	
2573			X	X	Exhibit 2573 marked and admitted Autonomy PowerPoint Presentation: "Autonomy Q1 trading update 21 April 2011"	
11637			X	X	Exhibit 11637 marked and admitted Autonomy Q2 and H1 Results Powerpoint Presentation	
1006			X	X	Exhibit 1006 marked and admitted Q2 2010 Autonomy Corporation plc Earnings Conference Call and Presentation	
1189			X	X	Exhibit 1189 marked and admitted Q3 2010 Autonomy Corporation plc Earnings Conference Call and Presentation	
1539			X	X	Exhibit 1539 marked and admitted Q4 2010 Autonomy Corporation plc Earnings Conference Call and Presentation	
1794			X	X	Exhibit 1794 marked and admitted Q1 2011 Autonomy Corporation plc Earnings Conference Call and Presentation	
2032			X	X	Exhibit 2032 marked and admitted Q2 2011 Autonomy Corporation plc Earnings Conference Call and Presentation	
1352			X		Exhibit 1352 previously admitted	
		10:10 a.m.			Defense (Lynch) cross-examination of witness Hafeez Bux Daud Khan	
	7416		X	X	Exhibit 7416 marked and admitted Email from BakrS to KhanD, re: (No Subject) - including instant message exchange between Khan and Citibank analyst	
	7430		X	X	Exhibit 7430 marked and admitted Email from MorlandP to MorlandP re: "Incredible....."	
	7423		X	X	Email from KhanD to CornelisseB, re: "Autonomy Ahhhg- why does it keep going up!"	
	23618		X	X	Exhibit 23618 marked and admitted	
	7428		X	X	Exhibit 7428 marked and admitted Email from CornelisseB to KhanD, re: (No Subject)	
	7418		X	X	Exhibit 7418 marked and admitted Email from MorlandP to KhanD, re: "RE: Large deals"	
	7419		X	X	Exhibit 7419 marked and admitted Email from MorlandP to KhanD, re: "FW: Citi AU note from today"	
		10:42 a.m.			Court in recess	
		11:01 a.m.			Court reconvened. All jurors present. All parties present. Defense (Lynch) cross-examination of witness Hafeez Bux Daud Khan	
	7426		X	X	Exhibit 7426 marked and admitted Email from McNallyP ro KhanD, re: (No Subject)	

	23596		X	X	Exhibit 23596 marked and admitted Autonomy: Analysts' forecasts Spreadsheet	
	23597		X	X	Exhibit 23597 marked and admitted Cazenove Note	
	7400		X	X	Exhibit 7400 marked and admitted Merrill Lynch Note	
	7405		X	X	Exhibit 7405 marked and admitted Email from MRL to HussainS, re: "Fwd: Daud Khan CV" - attaching "CV - Daud Khan.pdf"	
	23611		X	X	Exhibit 23611 marked and admitted Email from EllwoodT to KanterA, re: "Re: Autonomy Corporation plc"	
	23612		X	X	Exhibit 23612 marked and admitted Email from DyerK to KanterA, re: "Last week's statement by Merrill Lynch"	
	7403		X	X	Exhibit 7403 marked and admitted Clear Capital Note	
	7404		X	X	Exhibit 7404 marked and admitted Letter from KanterA to FSA, re: "Clear Capital Limited and New Street Research LLP"	
	7432		X	X	Exhibit 7432 marked and admitted Email from MRL to KanterA, re: "Fwd: Re: Question 2"	
	7406		X	X	Exhibit 7406 marked and admitted Cazenove Note	
	4083		X	X	Exhibit 4083 marked and admitted Cazenove -21.01.08.pdf	
	7425		X	X	Exhibit 7425 marked and admitted Email from MorlandP to KhanD; PhillipsR, re: "RE: Question for the results day"	
	7424		X	X	Exhibit 7424 marked and admitted Email from AshtonK to KhanD, re: "RE: hello"	
	7429		X	X	Exhibit 7429 marked and admitted Email from KhanD to MorlandP; PhillipsR, re: "Incredible....."	
	7425		X		Exhibit 7425 previously admitted	
	7421		X	X	Exhibit 7421 marked and admitted Email from MorlandP to KhanD, re: "RE: Autonomy questions"	
	7415		X	X	Exhibit 7415 marked and admitted Email from MorlandP to KhanD, re: "RE: Autonomy cash question - more thoughts"	
		11:59 a.m.			Jurors on break	
		12:01 p.m.			Court inquiry re length of defense examination Court in recess	
		12:48 p.m.			Court reconvened. All jurors present. All parties present. Defense (Lynch) cross-examination of witness Hafeez Bux Daud Khan	
	7420		X	X	Exhibit 7420 marked and admitted Email from MorlandP to KhanD, re: "RE: Upsetting Autonomy"	

	11822		X		Exhibit 11822 marked and admitted	
	2686		X	X	Exhibit 2686 marked and admitted Email from TogniI to BerkowitzJ, et al, Cc to CadelA, VarzallyM, et al., re "Re: Autonomy Digital Safe Contract Approval Request"	
	4093		X	X	Exhibit 4093 marked and admitted Re: Note for comment	
	7409		X	X	Exhibit 7409 marked and admitted Email from KhanD to MRL; HussainS, cc: KnoxD; 'GROUP - Research Policy Committee', re: "Autonomy follow up questions"	
	7411		X	X	Exhibit 7411 marked and admitted Letter from KanterA to FSA, re: "Equity Analyst's Activities"	
	23622		X	X	Exhibit 23622 marked and admitted Email from HodderC to KanterA, re: "FSA request for further information"	
	7413		X	X	Exhibit 7413 marked and admitted Letter from KanterA to FSA, re: "FSA request for further information"	
	2650		X		Exhibit 2650 previously admitted	
	23621		X	X	Exhibit 23621 marked and admitted Email from HussainS to MRL; OrtonE, re: "FW: Due diligence mtg with JPMorgan"	
	23613		X	X	Exhibit 23613 marked and admitted Autonomy Presentation to JPMC	
	23614		X	X	Exhibit 23614 marked and admitted Hewlett-Packard Company Form 8-K	
	5031		X	X	Exhibit 5031 marked and admitted Interwoven 10K (FY08)	
	428		X		Exhibit 428 previously admitted	
	7644		X		Exhibit 7644 previously admitted	
	4088		X	X	Exhibit 4088 marked and admitted Autonomy130508 b.pdf	
	7414		X	X	Exhibit 7414 marked and admitted Cazenove Note	
	1649		X	X	Exhibit 1649 marked and admitted JP Mogan Cazenove Reoprt "Autonomy - Earning quality deteriorating, rating remains high"	
	7414		X		Exhibit 7414 previously admitted	
	23533		X	X	Exhibit 23533 marked and admitted To EDiscovery Journal	
	5031		X		Exhibit 5031 previously admitted	
	4081		X	X	Exhibit 4081 marked and admitted Autonomy 2008 Q3 Financial Results (1).pdf	
	5032		X	X	Exhibit 5032 marked and admitted Interwoven Q308 10Q	
	23598		X	X	Exhibit 23598 marked and admitted	

					Cazenove Note	
		2:01 p.m.			Court in recess	
		2:22 p.m.			Court reconvened. All jurors present. All parties present. Defense (Lynch) cross-examination of witness Hafeez Bux Daud Khan	
	23598		X		Exhibit 23598 previously admitted	
	901		X		Exhibit 901 previously admitted	
	1649		X		Exhibit 1649 previously admitted	
		2:28 p.m.			Government re-direct of witness Hafeez Bux Daud Khan	
11634			X	X	Exhibit 11634 marked and admitted JPMorgan Cazenove Analyst report (Autonomy underweight) "Potential M&A acting as near term catalyst"	
7404			X		Exhibit 7404 previously admitted	
7411			X		Exhibit 7411 previously admitted	
4088			X		Exhibit 4088 previously admitted	
23622			X		Exhibit 23622 previously admitted	
7644			X		Exhibit 7644 previously admitted	
165			X		Exhibit 165 previously admitted	
		2:40 p.m.			Defense (Lynch) re-cross examination of witness Hafeez Bux Daud Khan	
		2:41 p.m.			Witness excused	
		2:42 p.m.			Government witness sworn <b>Steven Bradley Truitt</b>	
398			X	X	Exhibit 398 marked and admitted Purchase Order for Microtech to order software from Autonomy for resale to Discover Technologies dated December 30, 2009.	
422			X	X	Exhibit 422 marked and admitted E-mail from Steve Truitt to Cynthia Watkins, cc'd to John Cronin, dated December 31, 2009	
423			X	X	Exhibit 423 marked and admitted E-mail from Steve Truitt to Cynthia Watkins, cc'd to John Cronin, regarding "Amazon Order"	
422			X		Exhibit 422 previously admitted	
593			X	X	Exhibit 593 marked and admitted E-mail from John Cronin to Steve Truitt, dated February 4, 2010 regarding "trans update"	
652			X	X	Exhibit 652 marked and admitted E-mail from John Cronin to Steve Truitt, dated March 30, 2010, regarding "orders tomorrow"	
5			X	X	Exhibit 5 marked and admitted Autonomy Government Reseller Agreement between MicroTech, LLC and Autonomy, Inc.	
653			X	X	Exhibit 653 marked and admitted	

					E-mail from John Cronin to Steve Truitt, dated March 30, 2010, regarding "Copy of Check for Autonomy Reference"	
708			X		Exhibit 708 previously admitted	
788			X	X	Exhibit 788 marked and admitted Bank statement and check stub shows payment by MicroTech to Autonomy (check signed by Tomas Esterrich) for \$674,237.26 on 3/31, and a wire transfer from Autonomy to MicroTech on 4/1 in amount of \$680,328.50	
422			X		Exhibit 422 previously admitted	
13086			X		Exhibit 13086 previously admitted	
12582			X	X	Exhibit 12582 marked and admitted Credit Memo to MicroTech LLC	
4136			X	X	Exhibit 4136 marked and admitted Microtech CM 6810-ANA.pdf	
13086			X		Exhibit 13086 previously admitted	
788			X		Exhibit 788 previously admitted	
707			X	X	Exhibit 707 marked and admitted E-mail from Steve Truitt to John Cronin, dated April 1, 2010, regarding "Vatican"	
1045			X	X	Exhibit 1045 marked and admitted E-mail from John Cronin to Steve Truitt, dated August 20, 2010, regarding "Invoice from MT"	
1052			X	X	Exhibit 1052 marked and admitted E-mail from John Cronin to Steve Truitt et al., dated August 25, 2010, regarding "AU Payments to MT Confirmed"	
1160			X	X	Exhibit 1160 marked and admitted Email from S. Truitt to T. Jimenez re MT payment to Autonomy	
1138			X	X	Exhibit 1138 marked and admitted Audit Confirm for Microtech as of 10/6/2010	
954			X		Exhibit 954 previously admitted	
1138			X		Exhibit 1138 previously admitted	
1320			X		Exhibit 1320 previously admitted	
1340			X	X	Exhibit 1340 marked and admitted Email from John Cronin to Steve Truitt attaching Microtech purchase order for \$9,600,000.00 (ATIC)	
1337			X	X	Exhibit 1337 marked and admitted Purchase Order No. MT101229 to MicroTech for ATIC Proposal (\$9.6mm)	
		3:41 p.m.			Jurors excused until April 17, 2024 at 9:15 a.m. Discussion re MIL	
		3:50 p.m.			Court adjourned until April 18, 2024 at 9:15 a.m.	